

## Short Note

# Curly Tails: Rare Occurrence of Bent Flukes in Free-Ranging Cetaceans

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Dorsal fins, pectoral fins, and flukes are among the most important morphological structures of fully aquatic animals, including cetaceans (Fish, 1996). These structures are involved in the processes of thermoregulation, locomotion, stability, and maneuverability (Scholander & Schevill, 1955; Fish, 1996; Weber et al., 2014; Fish & Lauder, 2017), among others. Flukes particularly play an essential role as a propulsive organ contributing to gross body behavior, and they are of critical relevance in body hydrodynamics (Felts, 1966). In cetaceans, the flukes are composed of a thick skin layer, a subcutaneous blubber layer, a ligamentous layer, a series of arterio-venous plexuses, and a core of dense fibrous tissue (Felts, 1966; Sun et al., 2010). The ligamentous layer, in particular, is constituted by flexor and extensor tendons that are formed from epaxial and hypaxial muscles that reach the caudal portion (Spearman, 1972; Strickler, 1980). These ligaments are responsible for the movements of the fluke and maintain its flat horizontal shape (Strickler, 1980). The flukes are boneless, being firmer in the center and more flexible at the end with a slight curvature, allowing greater efficiency during movement (Fish, 1998).

To perform their functions adequately, the fins and flukes must be straight vertically (i.e., dorsal fin) or horizontally (i.e., fluke) as is regularly observed in cetaceans (Fish, 1998; Kastelein et al., 2016). However, some cases of

abnormalities in these appendages have been reported, mainly in the dorsal fin, being partially or fully bent (Alves et al., 2018). In free-ranging cetaceans, both for odontocetes and mysticetes, it is estimated that the prevalence of this bending is nearly 1% (Alves et al., 2018). Exceptions are the orca (*Orcinus orca*) and the false killer whale (*Pseudorca crassidens*) for which the prevalence is close to 6 and 4%, respectively (Alves et al., 2018). These abnormalities may have a natural origin or be a consequence of anthropogenic interactions (Visser, 1998; Berghan & Visser, 2000; Baird & Gorgone, 2005; Luksenburg, 2014; Baird et al., 2015; Stack et al., 2019). Some of the natural causes of fin collapse can be congenital malformations, the height of the dorsal fin, infectious diseases, poor nutritional condition, and old age (in male orcas) (Alves et al., 2018). Physical injuries caused by boat strikes, interaction with fishing gear, and physiological stress have been described as possible anthropogenic causes of bent fins (Van Waerebeek et al., 2007; Luksenburg, 2014; Baird et al., 2015).

Reports of bent flukes are even less common. Castro et al. (2011) reported two individual humpback whales (*Megaptera novaeangliae*) (among 800 photo-identified individuals) with one of the flukes curved ventrally, describing them as deformities but without referring to the potential causes. There are also records on social media

and web pages of both free-ranging ([https://www.instagram.com/p/CN0u9oaBu1n/?utm\\_medium=share\\_sheet](https://www.instagram.com/p/CN0u9oaBu1n/?utm_medium=share_sheet)) and captive ([https://www.instagram.com/p/CTAZ03iKavx/?utm\\_medium=copy\\_link](https://www.instagram.com/p/CTAZ03iKavx/?utm_medium=copy_link)) orcas with bent flukes. However, possible causes explaining these events are not discussed or even mentioned. Herein, we document a rare occurrence of bent flukes in orcas and humpback whales in the north-central waters of Chile. We also discuss potential causes of these rare abnormalities.

The Marine Reserve Isla Chañaral (29.09° S, 71.59° W) is located 6 km offshore from the north-central Chilean coast. A high diversity of marine fauna has been reported in the area, including several species of cetaceans such as bottlenose (*Tursiops truncatus*) and dusky dolphins (*Lagenorhynchus obscurus*); orcas; and blue (*Balaenoptera musculus*), fin (*B. physalus*), and humpback whales, among others (Capella et al., 1999; Pérez et al., 2006; Toro et al., 2016; Sepúlveda et al., 2018). Associated with this high diversity of species, whale-watching activities are currently being developed as an important economic activity in the Caleta Chañaral de Aceituno (Sepúlveda et al., 2018; Santos-Carvallo et al., 2021; Toro et al., 2021). Marine fauna records obtained by tourism operators have been increasing over time, mostly due to the fact that they have been training on species identification and behavioral responses and that they have been equipped with professional photographic equipment. During the whale-watching operations in the Marine Reserve, two records of bent flukes in two cetacean species were obtained. Observations were performed from a 9-m whale-watching boat with a 300-hp outboard motor. The animals were approximately 100 m from the boat. The species were identified by their diagnostic characteristics following Clapham (2009) and Ford (2009), and were recorded using a Canon 7D Mark II (Canon Inc., Tokyo, Japan) and autofocus 70-300 mm zoom lenses. Behavioral states of the animals were identified following Lusseau et al. (2009) for the orca and Di Clemente et al. (2018) for the humpback whale.

The first record was of a male orca on 21 December 2020 (Figure 1). The observed individual was part of a group composed of four orcas—two males, one probable female, and one juvenile. The orcas were exhibiting socializing behavior (Noren et al., 2009). At the beginning of the dives, one of the males raised its fluke, and it was observed that it had a slight ventral bend in a bilaterally symmetrical way (Figure 1a-c). The flukes were rigid, maintaining the same position continuously. The general condition of the animal was considered healthy, with no evidence

of malnutrition (e.g., the dorsal spinous processes of the thoracic vertebrae were not observed) or lesions associated with pathogens or wounds caused by natural or anthropogenic causes. The movement of this individual was normal, maintaining the swimming velocity of the rest of the individuals in the group (Arbelo et al., 2013; Lauderdale et al., 2021).

The second record was of an adult humpback whale on 30 July 2021 (Figure 2). It was a solitary individual who was feeding and later resting (Brown et al., 1994). In this case, the ventral curvature of both flukes was considerable and bilaterally symmetrical (Figure 2a & b). As with the orca record, the flukes were rigid, constantly maintaining the same position. Parallel linear scars were observed at the tip of the right fluke, which were likely attributable to a bite from a predator such as an orca (Naessig & Lanyon, 2004; McCordic et al., 2014; Figure 2a). Additionally, scars likely attributable to interactions with fishing gear were recorded on the leading edge of the right fluke (Basran et al., 2019; Figure 2c). On the back and on the right side, the animal presented linear abrasive wounds parallel to the body, likely wounds generated by monofilament gears used in fishing activities (Figure 2d). Finally, further cranially linear (perpendicular to the body) and circular abrasive wounds were observed, which are associated with nets of multifilament fishing lines or larger fishing lines (Basran et al., 2019; Figure 2d). The animal was found in poor nutritional condition, with the spinous processes of the thoracic vertebrae clearly visible (Raverty et al., 2020).

The sources of these abnormalities (i.e., bent flukes in orcas and humpback whales) can be diverse and brought about by one or more causes. Regarding the possible causes of the occurrences of these abnormalities, Alves et al. (2018) suggested that the collapse of the dorsal fins could be associated with the individual's own condition (e.g., congenital malformations) and/or produced by external causes, whether natural (e.g., predator attack) or anthropogenic (e.g., interaction with fishing gear). The curvature of the flukes in the orca was less pronounced than in the humpback whale, and the animal did not show obvious signs of interactions that could explain this anomaly. The humpback whale, however, presented potential indications of interactions that could have caused or contributed to the bend of the flukes. Within these, it has been described that predator bites, depending on their intensity and/or frequency, may cause injury to the tendons, potentially generating the loss of their functionality and thus affecting the horizontal rigidity of the flukes (Cozzi et al., 2017). Fishing gear can cause additional weight on the flukes and increased



**Figure 1.** Male orca (*Orcinus orca*) with bent flukes: (a) posterior view of the flukes, (b) view from the right side of the fluke, and (c) the individual's dorsal fin. (Photo credit: Jonathan González, Turismos Orca)





**Figure 2.** Sighting of a humpback whale (*Megaptera novaeangliae*) with bent flukes: (a) posterior view shows a scar attributable to a predator bite (white circle) and (b) view from the right side of the fluke. (Photo credit: Jonathan González, Turismos Orca)





**Figure 2 (cont.).** Sighting of a humpback whale: (c) markings on the flukes attributable to an entanglement in fishing gear (white circle) and (d) a circular and linear abrasive wound (white circle) and parallel abrasive wounds (white box) on the back attributable to an entanglement. (Photo credit: Jonathan González, Turismos Orca)

resistance, which can increase the energetic cost of locomotion (van der Hoop et al., 2017) and also affect the tendons and musculature of this appendage (Cozzi et al., 2017).

For the orca, the slight curvature in the flukes did not seem to affect the social and biological dynamics of the individual. It was observed in adequate nutritional condition with regular movements similar to the rest of the individuals in the group. This anomaly appears to be relatively common in free-ranging orcas since there are records of animals with similar characteristics in different parts of the world (e.g., United States [https://www.instagram.com/p/CN0u9oaBu1n/?utm\_medium=share\_sheet], Canada [https://grizzlybearwatching.com/tag/killer-whales/page/5], South Africa [https://www.hermanusonline.mobi/a-new-group-of-killer-whales-have-moved-to-south-africa-and-they-have-an-appetite-for-sharks]), and it could be a congenital malformation as described in dorsal fins (Alves et al., 2018). A different situation would be facing the humpback whale since the collapse of the flukes was more severe with a modification in the fluke span (i.e., linear distance between fluke tips), losing the proportional relationship with the body length (Bose et al., 1990). As described by Bose et al. (1990), this alteration in the flukes could cause less efficient movements and, consequently, an increase in energy costs by the animal (Fish, 1998). Smaller tail size in proportion to the body length of the animal has been described for some neonatal cetaceans, such as bottlenose dolphin (Noren et al., 2006) and Dall's porpoise (*Phocoenoides dalli*; Amano & Miyazaki, 1993), causing a lower swimming velocity compared to adult animals, forcing the calf to develop other strategies to match the adult's speed (e.g., free-riding behaviors; Lang, 1966). This suggests that the humpback whale was at a physical disadvantage compared to non-affected individuals of the same species, which would explain its decreased nutritional condition.

Both events were recorded during tourism operations, drawing the attention of tour operators and tourists. The participation of the community and citizens in gathering scientific information (known as citizen science) is becoming increasingly relevant, contributing to the gathering of scientific information and helping in recording new discoveries (Silvertown, 2009). Citizen science makes it possible to gain records in remote places, significantly increasing the observation and monitoring opportunities over time (Pacheco et al., 2019; Garcia-Cegarra et al., 2021). It is therefore important to encourage local communities to continue with the collection of these records, which could contribute to the observation and monitoring of these rare phenomena over time. Likewise, sharing and disseminating these records

with neighboring communities can be useful for tracking the movements and habitat use of these affected individuals.

In summary, bent flukes have not been reported in free-ranging cetaceans in the scientific literature; therefore, there are no reports describing the causes of this abnormality. For the orca, the bend of the flukes was slight and appeared to not affect the individual. For the humpback whale, the bend was more obvious, and the flukes showed evidence of attacks from predators and interactions with fishing gear. Such interactions could cause or at least contribute to the bend of the flukes. Citizen science has become an important tool to record rare occurrences and contributes to gathering scientific information.

### Acknowledgments

We thank Turismos Orca for allowing us to use their tourism platform and the tour operators of Caleta Chañaral de Aceituno. We also thank Kobe Martin for the English revision and the anonymous reviewer for valuable comments on the text. MJPA was partially funded by ANID-Millennium Science Initiative Program-ICN2021\_002. MSM was partially supported by Núcleo Milenio INVASAL, which is funded by ANID-Programa Iniciativa Científica Milenio-NCN16\_034.

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